



PATENT  
Docket No. 29997/062

1FW

IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE

Applicant(s): Moctezuma de la Barrera et al.

Serial No.: 10/655,922

Filed: September 5, 2003

For: SYSTEM AND METHOD OF  
PERFORMING BALL AND SOCKET  
JOINT SURGERY

Group Art Unit: 3651

Examiner: Unknown

Certificate of Mailing

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

June 3, 2004

J. William Frank, III  
Registration No. 25,626  
Attorney for Applicant(s)

THIRD SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The documents listed on the enclosed PTO Form-1449 are called to the attention of the Examiner pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. The present application was filed after June 30, 2003, therefore copies of the documents are not provided.

This Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, before the mailing date of a first Office Action. In accordance with 37 C.F.R. §1.97(b), no certification or fee is required.

The identification of any document in the attached PTO-Form 1449 is not an admission that such document is in fact prior art or material to patentability relative to the instant application.

An early and favorable action on the merits is respectfully requested.

Respectfully submitted,

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June 3, 2004

By:

J. William Frank III, Esq.  
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Atty. Docket No.

Serial No.

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3651

**INFORMATION DISCLOSURE  
STATEMENT**

(Use several sheets if necessary)

Sheet 1 of 1

Applicant(s): Moctezuma de la Barrera et al.

**U.S. PATENT DOCUMENTS**

| *Examiner<br>Initials |     | Document<br>Number | Issue<br>Date | Name         | Class | Subclass | Filing Date<br>If Appropriate |
|-----------------------|-----|--------------------|---------------|--------------|-------|----------|-------------------------------|
|                       | A13 | 6,711,431 B2       | 3-23-04       | Sarin et al. | 600   | 426      |                               |
|                       | A14 | 2003/0153829 A1    | 8-14-03       | Sarin et al. | 600   | 426      |                               |
|                       | A15 | 2004/0034313 A1    | 2-19-04       | Leitner      | 600   | 595      |                               |
|                       |     |                    |               |              |       |          |                               |
|                       |     |                    |               |              |       |          |                               |

**FOREIGN PATENT DOCUMENTS**

| *Examiner<br>Initials |    | Document<br>Number | Publication<br>Date | Country | Class | Subclass | Translation |    |
|-----------------------|----|--------------------|---------------------|---------|-------|----------|-------------|----|
|                       |    |                    |                     |         |       |          | Yes         | No |
|                       | B1 | WO 03/073951 A1    | 9-12-03             | PCT     |       |          |             |    |
|                       | B2 | WO 2004/014219 A2  | 2-19-04             | PCT     |       |          |             |    |
|                       | B3 | WO 2004/030556 A2  | 4-15-04             | PCT     |       |          |             |    |
|                       | B4 | WO 2004/030559 A1  | 4-15-04             | PCT     |       |          |             |    |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

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EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.